

*SFW*



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 24, 2005.

Lindsey Lin

Applicant	: Aaron D. Bachelder, et al.	Confirmation No.8089
Application No.	: 10/811,075	
Filed	: March 24, 2004	
Title	: EMERGENCY VEHICLE TRAFFIC SIGNAL PREEMPTION SYSTEM	
Grp./Div.	: 2632	
Examiner	: N/A	
Docket No.	: 53860/RAG/C766	

### INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
January 24, 2005

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the co-pending applications are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

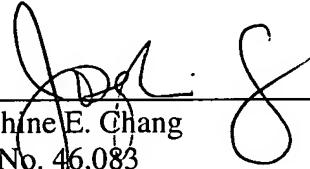
In regards to the co-pending U.S. patent applications, Applicant is disclosing these applications to eliminate any question as to whether Applicant has complied with the duty of disclosure. Applicant does not, however, admit that these applications are prior art. In fact, none of the disclosed applications except for Application Ser. No. 10/410,582; 10/696,490; and 10/704,530 are prior art because they have a later filing date than the present applications. Application Ser. Nos. 10/410,582 and 10/696,490 are not prior art because they have the same inventorship as the present application. Application Ser. No. 10/704,530 is not 102(e) prior art because it is commonly owned by the California Institute of Technology along with the present application.

**Application No. 10/811,075**

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By \_\_\_\_\_

  
Josephine E. Chang  
Reg. No. 46,083  
626/795-9900

JEC/lal

Enclosures: PTO/SB/08A/B, w/references  
LAL PAS602974.1-\* 01/21/05 8:46 AM

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

JAN 26 2005

U.S. PATENT AND TRADEMARK OFFICE

Attorney Docket Number	53860/RAG/C766
Application Number	10/811,075
Filing Date	March 24, 2004
Applicant(s)	Aaron D. Bachelder, et al.
Group Art Unit	2632
Examiner Name	N/A

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,775,865	10-1988	Smith et al.
		5,014,052	05-1991	Obeck, Carl J.
		5,710,555	01-1998	McConnell et al.
		5,889,475	03-1999	Klosinski et al.
		6,232,889	05-2001	Apitz et al.
		6,326,903 B1	12-2001	Gross et al.
		6,621,420	09-2003	Poursartip, Slavash

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> ( <input checked="" type="checkbox"/> )

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Co-pending U.S. Patent Application No. 10/811,075; filed March 24, 2004, entitled <b>EMERGENCY VEHICLE TRAFFIC SIGNAL PREEMPTION SYSTEM</b>
		Co-pending U.S. Patent Application No. 10/704,530; filed November 7, 2003, entitled <b>METHOD AND SYSTEM FOR BEACON/HEADING EMERGENCY VEHICLE INTERSECTION PREEMPTION</b>

EXAMINER SIGNATURE	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.	

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/lal

Sheet 1 of 2

FORM PTO/SB/08A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE**

**STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Attorney Docket Number**

**53860/RAG/C766**

**Application Number**

**10/811,075**

**Filing Date**

**March 24, 2004**

**Applicant(s)**

**Aaron D. Bachelder, et al.**

**Group Art Unit**

**2632**

**Examiner Name**

**N/A**

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		Co-pending U.S. Patent Application No. 10/696,490; filed October 28, 2003, entitled <b>METHOD AND APPARATUS FOR ALERTING CIVILIAN MOTORISTS TO THE APPROACH OF EMERGENCY VEHICLES</b>
		Co-pending U.S. Patent Application No. 10/965,408; filed October 12, 2004, entitled <b>TRAFFIC PREEMPTION SYSTEM</b>
		Co-pending U.S. Patent Application No. 10/960,129; filed October 6, 2004, entitled <b>DETECTION AND ENFORCEMENT OF FAILURE-TO-YIELD IN AN EMERGENCY VEHICLE PREEMPTION SYSTEM</b>
		Co-pending U.S. Patent Application No. 10/410,582, filed April 8, 2003, entitled <b>EMERGENCY VEHICLE CONTROL SYSTEM TRAFFIC LOOP PREEMPTION</b>

LAL PAS604103.1-\*01/21/05 8:53 AM

EXAMINER SIGNATURE		DATE CONSIDERED	
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English Language Translation is attached.			

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JEC/lal

Sheet 2 of 2